

## **FIRST SUPPLEMENTAL INFORMATION MEMORANDUM FOR PRINCIPAL ISLAMIC GLOBAL TECHNOLOGY FUND**

**Manager** : **Principal Asset Management Berhad** (199401018399 (304078-K))

**Trustee** : **HSBC (Malaysia) Trustee Berhad** (193701000084 (1281-T))

This First Supplemental Information Memorandum is dated 26 February 2024 and is to be read in conjunction with the Information Memorandum Issue No. 1 dated 14 July 2022 for the Principal Islamic Global Technology Fund (“Information Memorandum”).

The Fund was constituted on 20 June 2022.

**The Securities Commission Malaysia has not authorised or recognised the Fund and a copy of this First Supplemental Information Memorandum has not been registered with the Securities Commission Malaysia.**

**The lodgement of this First Supplemental Information Memorandum should not be taken to indicate that the Securities Commission Malaysia recommends the Fund or assumes responsibility for the correctness of any statement made, opinion expressed or report contained in this First Supplemental Information Memorandum.**

**The Securities Commission Malaysia is not liable for any non-disclosure on the part of Principal Asset Management Berhad who is responsible for the Fund and takes no responsibility for the contents in this First Supplemental Information Memorandum. The Securities Commission Malaysia makes no representation on the accuracy or completeness of this First Supplemental Information Memorandum, and expressly disclaims any liability whatsoever arising from, or in reliance upon, the whole or any part of its contents.**

**SOPHISTICATED INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THE INFORMATION MEMORANDUM AND THIS FIRST SUPPLEMENTAL INFORMATION MEMORANDUM. IF IN DOUBT, PLEASE CONSULT A PROFESSIONAL ADVISER.**

**THIS IS A FIRST SUPPLEMENTAL INFORMATION MEMORANDUM WHICH HAS TO BE READ IN CONJUNCTION WITH THE INFORMATION MEMORANDUM**

**1.0. GENERAL**

- 1.1. This First Supplemental Information Memorandum is issued to reflect the amendments made to the Information Memorandum as stated under paragraphs 2, 3, 4, 5, 6 and 7 below.
- 1.2. All terms used in this First Supplemental Information Memorandum shall have the same meanings as those defined in the Definitions Chapter of the Information Memorandum unless where the context otherwise requires.
- 1.3. All information provided herein is practicable as at 31 January 2024 and shall remain current and relevant as at such date.

**2.0. ABOUT THIS DOCUMENT**

- 2.1. The following paragraphs have been inserted under the section of **“About This Document”** at page i:

**The Securities Commission Malaysia has not authorised or recognised the Fund and a copy of this Information Memorandum has not been registered with the Securities Commission Malaysia.**

**The lodgement of this Information Memorandum should not be taken to indicate that the Securities Commission Malaysia recommends the Fund or assumes responsibility for the correctness of any statement made, opinion expressed or report contained in this Information Memorandum.**

**The Securities Commission Malaysia is not liable for any non-disclosure on the part of Principal Malaysia who is responsible for the Fund and takes no responsibility for the contents in this Information Memorandum. The Securities Commission Malaysia makes no representation on the accuracy or completeness of this Information Memorandum, and expressly disclaims any liability whatsoever arising from, or in reliance upon, the whole or any part of its contents.**

**3.0. DEFINITIONS**

- 3.1. The definition of “Principal Distributors” and “Sophisticated Investor” under the section of **“Definitions”** at page iii has been replaced and read as below:

Principal Distributors	- Refers to the authorised unit trust scheme consultants registered with Principal Malaysia.
Sophisticated Investor	- Refers to investors as we determine as qualified or eligible to invest in the Fund and that fulfil any laws, rules, regulations, restrictions or requirements imposed by the respective country’s regulators where the Fund is open for sale. For investors in Malaysia, this refers to any person who: (i) is determined to be a sophisticated investor under the SC’s Guidelines on Categories of Sophisticated Investors, as amended from time to time; or (ii) acquires any capital market products where the consideration is not less than MYR250,000 or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise, and/or any other category(ies) of investors as may be permitted by the SC from time to time. <i>Note: For more information, please refer to our website at <a href="http://www.principal.com.my">www.principal.com.my</a> for the current and/or updated definition and categories of “Sophisticated Investor”.</i>

**4.0. FEES, CHARGES AND EXPENSES**

- 4.1. The fourth bullet point under the section of **“Other Expenses”** at page 20 has been replaced and read as below:
- expenses incurred in the preparation and audit of the taxation returns and accounts of the Fund, including the printing of, purchasing of stationery and postage for the annual and quarterly (if any) reports;
- 4.2. The fifth bullet point under the section of **“Other Expenses”** at page 20 has been replaced and read as below:
- remuneration and out of pocket expenses of the person(s) or members of a committee undertaking the oversight function of the Fund, if applicable, unless we decide to bear the same;

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- 4.3. The eleventh bullet point under the section of “**Other Expenses**” at page 20 has been deleted in its entirety.
- 4.4. The information under the section of “**Rebates and Soft Commissions**” at page 21 has been replaced and read as below:

We, and the Trustee will not retain any form of rebate from, or otherwise share in any commission with, any broker or dealer in consideration for directing dealings in the investments of the Fund. Accordingly, any rebate or shared commission will be directed to the account of the Fund.

We may retain goods and services (soft commission) provided by any broker or dealer if the following conditions are met:

- (a) the soft commission brings direct benefit or advantage to the management of the Fund and may include research and advisory related services;
- (b) any dealings with the broker or dealer is executed on terms which are the most favourable for the Fund; and
- (c) the availability of soft commission is not the sole or primary purpose to perform or arrange transactions with such broker or dealer, and we will not enter into unnecessary trades in order to achieve a sufficient volume of transactions to qualify for soft commission.

**5.0. TRANSACTION INFORMATION**

- 5.1. The second paragraph under the section of “**Incorrect Pricing**” at page 25 has been replaced and read as below:

Notwithstanding the above, unless the Trustee otherwise directs, we shall make the reimbursement only where an incorrect pricing:

- a) is equal to or more than 0.50% of the NAV per unit; and
- b) results in a sum total of MYR10.00 (or in the case of a foreign currency Class, 10.00 denominated in the foreign currency denomination of the Class) or more to be reimbursed to a Unit holder for each sale or withdrawal transaction.

- 5.2. The first bullet point in the second paragraph under the section of “**How to invest?**” at page 26 has been replaced and read as below:

- by crossed cheque (made payable as advised by us or our Distributors as the case may be). You will have to bear the applicable bank fees and charges, if any;

- 5.3. The textbox under the section of “**Who is distributing this Fund?**” at page 26 has been replaced and read as below:

**You should not make any payment directly or indirectly to any individual agent or employee of the Manager or issue a cheque in the name of an individual agent or employee of the Manager when purchasing this Fund.**

**6.0. ADDITIONAL INFORMATION**

- 6.1. The information under the section of “**Termination of Fund and/or Any of the Classes**” at page 30 has been replaced and read as below:

Subject to the provision set out below, the Fund and/or any of the Classes may be terminated or wound-up without the need to seek Unit holders’ prior approval as proposed by us with the consent of the Trustee (whose consent shall not be unreasonably withheld) upon the occurrence of any of the following events, by giving not less than one (1) month’s notice in writing to the Unit holders as hereinafter provided (i) if any law shall be passed which renders it illegal or (ii) if in our reasonable opinion it is impracticable or inadvisable to continue the Fund and/or Class. A Class may be terminated by Unit holders if a Special Resolution is passed at a Unit holders’ meeting of that Class to terminate or wind-up that Class provided always that such termination or winding-up of that Class does not materially prejudice the interest of any other Class in that Fund.

- 6.1. The third bullet point under the section of “**Documents Available For Inspection**” at page 31 has been replaced and read as below:

The latest annual and quarterly reports of the Fund;

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- 6.2. The following paragraph has been inserted immediately after the fourth paragraph under the section of “**Potential Conflicts of Interests and Related Party Transactions**” at page 31:

Distributor may be our related party. We will ensure that any arrangement made with the Distributors will be at arm’s length.

**7.0. THE TRUSTEE**

- 7.1. The second paragraph under the section of “**Trustee’s Delegate**” at page 36 has been replaced and read as below:

The Trustee is not liable for the acts, omissions or failure of any third party depository including central securities depositories, or clearing and/or settlement systems in any circumstances.

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